1	<b>D</b> ECISION SUPPORT FOR REGIONAL ADVANCE MITIGATION PLANNING
2	
3	Re-Submitted November 15, 2016
4	Word Count: 5563 Figures: 4, Tables: 3
5	
6	Corresponding author: Patrick Crist, NatureServe, 2108 55th Street, Boulder, CO, 80301,
7	703.797.4810, patrick_crist@natureserve.org
8	Michelle Fink, Colorado Natural Heritage Program, Colorado State University, 1475
9	Campus Delivery, Fort Collins, CO 80523-1475, 970.491.0765, Michelle.Fink@colostate.edu
10	Lee Grunau, Colorado Natural Heritage Program. Colorado Natural Heritage Program,
11	Colorado State University, 1475 Campus Delivery, Fort Collins, CO 80523-1475, 970.491.2844,
12	Lee.Grunau@colostate.edu
13	Karin Decker, Colorado Natural Heritage Program, Colorado Natural Heritage Program,
14	Colorado State University, 1475 Campus Delivery, Fort Collins, CO 80523-1475, 970.491.3285,
15	Karin.Decker@colostate.edu
16	Craig Casper, Pikes Peak Area Council of Governments, 15 South 7th Street, Colorado
17	Springs, CO 80905, 719.471.7080, ccasper@ppacg.org
18	

## 19 ABSTRACT

- 20 Mitigating impacts of transportation projects uses avoidance, minimization, and compensation or
- 21 offsite mitigation actions. This project developed a technical approach to address offsite
- 22 mitigation which has often occurred during project execution, adjacent to the project, and in
- 23 small, unsustainable, and ineffective actions. More recently, advance mitigation has been
- 24 advocated to consider all projects in a long range transportation plan and identify the pool of
- 25 mitigation sites that should be conserved for use and implemented in advance of projects. The
- 26 expected benefits of this approach are streamlined transportation projects and more effective and
- 27 efficient conservation through placement of mitigation projects in more desirable and sustainable
- 28 locations.
- 29 The Pikes Peak Area Council of Governments developed an initial Integrated Regional
- 30 Mitigation Plan that quantified the expected impacts to a large number of species habitats and
- 31 ecosystem types from the approximately 200 projects in the Long Range Transportation Plan. A
- 32 multi-factor process was then used to select the pool of sites that could provide the necessary
- 33 mitigation for a set of "mitigation targets" (those features such as habitats to be mitigated) and
- 34 these were weighted with the presence of non-target but high priority biodiversity, other values
- 35 such as ecosystem services, and locational importance such as proximity to existing conservation
- 36 lands. The resulting geospatial database supports regional planning and can be used by project
- 37 and mitigation partners to identify the mitigation needs of individual transportation projects,
- 38 identify candidate locations for offsite mitigation, and prioritize a set of sites for field
- 39 verification and other investigations into project suitability.

## 41 INTRODUCTION

- 42 Mitigation for transportation project impacts on natural resources has typically been planned and
- 43 executed on a project-by-project basis, often resulting in small, unsustainable, and ineffective
- 44 mitigation (1, 2). In the document *Eco-logical: an Ecosystem Approach to Developing*
- 45 Infrastructure Projects (3) the case was made for proactive planning for mitigation in advance of
- 46 transportation projects and this concept was further developed and formalized in the technical
- 47 guide to Eco-logical (4). Expenditures for mitigating infrastructure projects represent one of the
- 48 largest sources of conservation funding in the U.S., therefore it is critical that those funds are
- 49 used to achieve effective conservation (5). Some states have institutionalized the advanced
- 50 mitigation approach and there are several examples from smaller jurisdictions (6,7) but the
- 51 practice is still in development with lessons yet to be learned (7). This paper focuses on the
- 52 technical methodology to support regional advanced mitigation. We built upon the work by
- 53 Huber et al. (8) who described a pilot project to develop a Regional Advanced Mitigation Plan
- 54 that could identify opportunity areas for mitigating a collection of transportation project impacts
- over a multi-county region. That work, along with some additional guides and studies (4, 9, 10)
   informed a project (completed in 2015) that sought to create an Integrated Regional Mitigation
- 57 Plan (IRMP) that could mitigate the cumulative impacts on biodiversity from the set of
- 58 transportation projects in a Long Range Transportation Plan. The intended result is a decision
- 59 support tool (DST) that can link any project to candidate areas capable of providing the
- 60 necessary mitigation and rank these areas by other factors such as supplemental benefits (e.g.,
- 61 ecosystem services), site condition, cost, etc.
- 62 This project was conducted for the region of the Pikes Peak Area Council of
- 63 Governments (PPACG) which is the designated Metropolitan Planning Organization (MPO) for
- 64 the Colorado Springs (Colorado, USA) Urbanized Area. This region has a mix of dense urban,
- suburban, and exurban development, extensive farm and grazing lands, and undeveloped public
- 66 land. It includes over 600,000 people within its two counties and seven municipalities. PPACG's
- 67 mission is to provide a forum for local governments to discuss issues that cross jurisdictional
- 68 boundaries, identify shared opportunities and challenges, and develop collaborative strategies for
- 69 action. As the MPO, PPACG must maintain a regional Long Range Transportation Plan (LRTP)
- 70 and transportation improvement program to determine investment priorities for billions of dollars
- 71 in federal, state, and local funds. Mitigation is a key component of PPACG's transportation
- 72 activities and comprises up to 50% of some projects.

# 73 OVERVIEW OF MITIGATION AND THE IRMP

- 74 Mitigation is generally understood as comprising the steps of avoidance of impacts by relocating
- 75 or deferring impacting projects, minimizing impacts through project design and implementation
- 76 measures, and compensating for unavoidable impacts through offsite actions (11, Sec. 1508.20).

77 Compensatory mitigation may be accomplished by restoration, creation, enhancement, or

78 protection of other occurrences of the impacted resource (12). Restoration may be defined as the

79 process of returning a population or habitat to a condition (including composition, structure, and

- 80 process) that is as good as, or better than, it was prior to the disturbance. For example, a
- 81 restoration of a burned forest may be appropriate mitigation for transportation impacts to an
- 82 unburned forest nearby.

83 While the complete methods and DST developed for the IRMP are capable of supporting 84 all levels of mitigation, the IRMP assumes that avoidance and minimization have already been 85 implemented to the degree feasible and is therefore focused on compensating for unavoidable 86 impacts to resources. The intent of applying the IRMP is to ensure that there is no overall loss of 87 those resources in the area of interest. Compensatory mitigation often involves a requirement for 88 more area to be mitigated than was impacted; such as a ratio of 3:1 (9). Further, in an IRMP, it 89 will be necessary to identify even more candidate areas than required for mitigation because not 90 all areas will actually be available, cost effective, or contain the features of interest when further 91 investigated (9). By applying the IRMP, fewer areas will need to be investigated for each 92 project's mitigation needs, potentially more effective and sustainable mitigation projects will be 93 conducted, and local governments and other infrastructure developers will be aware of sites 94 potentially needed for future mitigation so those sites can be preserved in the interim.

95 The IRMP is best understood as a spatial database DST, rather than a single map. It 96 identifies mitigation opportunity areas capable of providing the type and quantity of mitigation 97 anticipated through cumulative effects assessment of transportation projects identified in the 98 LRTP. It is not a fixed solution that aims to be implemented as-is (like a conservation plan), but 99 rather provides a spatial database with attributes that are useful for developing advance 100 mitigation projects linked to individual transportation projects as they are implemented. This is a 101 key difference (between conservation and mitigation plans), in that conservation plans attempt to 102 reach a set of conservation goals with minimum cost and/or area (13), while an IRMP seeks to 103 identify ample opportunities and support selection for the best mitigation sites as transportation 104 projects are implemented. That said, IRMPs should complement conservation plans and direct 105 mitigation projects to areas identified in conservation plans and give weight to such areas 106 whenever possible. Coupling mitigation projects to conservation plans is what makes mitigation 107 projects more effective and sustainable as well as attractive to implementation partners. 108 Acquisition and implementation cost can be additional factors in identifying or ranking the suite 109 of potential mitigation sites in an IRMP to help guide choices when multiple site options exist 110 and support development of mitigation banks as the preferred long-term approach. 111 Developing an IRMP uses current, accepted, and best practices to direct mitigation 112 opportunities to areas that can provide viable/sustainable mitigation and, where appropriate, 113 incorporate other ecosystem services to maximize public benefits. Though not directly addressed 114 in this IRMP, it can also support "out of kind" mitigation such that "needier" natural 115 resources/biodiversity components (hereon called "conservation elements") such as ecosystems,

- 116 habitats (inclusive of wetlands), species occurrences, etc., may be considered higher priority for
- 117 receiving mitigation action when more common conservation elements are impacted by
- 118 transportation projects.

## 119 METHODS

- 120 While producing an Integrated Regional Mitigation Plan is a recommended approach to
- 121 mitigation, very few actual implementations have occurred and published methods are sparse.
- 122 Methods described here were informed by a summary study (10), a case study (8), and a guide
- 123 (9). The methods are fairly linear, understanding that some parts can be conducted in parallel and
- 124 many parts are conducted iteratively to achieve desired outcomes, often by revisiting previous
- 125 steps. Note that these steps have considerable parallels with those of the Integrated Ecological
- 126 Framework (e.g., see 4).
- 127 Development of the PPACG IRMP consisted of six basic steps:
- 128 1. Define the region of analysis.
- 129 2. Identify biological resources to be considered in the plan.
- 130 3. Determine mitigation needs.
- 131 4. Identify a suite of potential mitigation sites.
- 132 5. Develop a method for prioritizing among multiple mitigation sites.
- Build a decision support system that can be accessed by the implementation parties andeasily updated as new information becomes available.
- 135 This is a complex process, the highlights of which are presented in this section. However, 136 for detailed methodology, please see the full report delivered to PPACG (14).

## 137 **Region of Analysis**

- 138 A novel component of this project was the use of two different regional boundaries (Figure 1).
- 139 For the purpose of identifying conservation elements and calculating transportation project
- 140 impacts (= mitigation needs), the jurisdictional boundary within which the impacts occur
- 141 (PPACG MPO boundary) was used. To identify the suite of potential mitigation sites represented
- 142 by the IRMP, a larger boundary ("full study area" in Figure 1) was used to account for areas
- 143 outside of the MPO boundary that could be more appropriate for receiving compensatory
- 144 mitigation credits. "More appropriate" is defined as providing larger, more intact, and more
- sustainable occurrences of the mitigation targets than might be found in the more developed
- 146 MPO region. This is the preference of the resource and regulatory agencies that advised PPACG
- 147 on this project. PPACG is able to transfer funds through the state DOT to accommodate
- 148 mitigation outside their jurisdiction.



149 **FIGURE 1** Analysis regions used in developing the Integrated Regional Mitigation Plan.

<sup>150</sup> Identification of Mitigation Needs

## 151 Conservation Elements & Mitigation Targets

152 Selection of mitigation targets typically begins with "regulated" conservation elements (e.g., 153 species listed as Threatened or Endangered under the Endangered Species Act; wetlands 154 protected under the Clean Water Act). In practice, requirements or negotiations between resource/regulatory agencies and transportation agencies may request mitigation beyond these 155 156 elements to include a broader set of resources. In their land use planning efforts, PPACG strives 157 to conserve or minimize impact to conservation elements (species, plant communities, and 158 ecological systems) beyond those elements that they are required by law to protect. To identify 159 conservation elements that could potentially be impacted by PPACG activities, a preliminary list 160 was developed through queries of the Colorado Natural Heritage Program's (CNHP's) Element 161 Occurrence and Potential Conservation Areas (PCAs) data for sensitive species and natural 162 communities documented within the study area. Some species not tracked by CNHP but 163 considered important by the PPACG's Advisory Committee were added. These include big game 164 species that are not only economically important species, but are also of significant highway 165 safety concern because of the potential for collisions. 166 To aid in determining which of the conservation elements warranted inclusion in the

167 IRMP, the elements were sorted into five status classes (which we referred to as "bins"),

reflecting their degree of conservation concern and other considerations (Table 1). The Advisory
 Committee recommended that PPACG commit to mitigating impacts to conservation elements in

- bins 1-3 (referred to hereafter as "mitigation targets"), which include 34 species, several
- 171 "potential conservation areas" or PCAs designated by CNHP, and a large number of habitat and
- ecosystem types. Documented occurrences of these elements were used to calculate potential
- 173 impacts from transportation projects, and to map potential mitigation sites, as described in the
- 174 following sections. Conservation elements in bins 4 and 5, together with other factors, were
- 175 considered additional values (i.e., extra points) to be used in ranking and selecting from among176 multiple potential mitigation sites.
- 177

## 178 **TABLE 1 Conservation element status bin definitions**

## Bin# Description

1	Federally Listed & Candidate Species					
2	Species or natural communities ranked as Critically Imperiled range-with					
	(G1) by NatureServe and CNHP					
OR Tier 1 Species of Greatest Conservation Need (SCGN) as defined the Colorado Parks & Wildlife's State Wildlife Action Plan						
	biodiversity significance (B1) by CNHP					
3	Species or natural communities ranked as Imperiled range-wide (G2)					
	OR Tier 2 SGCN as defined by Colorado Parks & Wildlife					
	OR Potential Conservation Areas ranked as having very high biodiversity					
	significance (B2)					
	OR Wetland/Riparian					

- 4 Species or natural communities ranked as Vulnerable range-wide (G3) (100 or fewer known occurrences) AND/OR Critically Imperiled - Imperiled in Colorado (S1 or S2)
   5 Remaining targets (including big game species), and any other areas considered to be important for mitigation or restoration
- 179

180 Calculating Impacts from Transportation Projects

181 Information about planned transportation projects was supplied in GIS vector data format by 182 PPACG, and included buffers within which project impacts were assumed to have the effect of 183 essentially removing a conservation element from the area. The buffers, determined in 184 consultation with the Advisory Committee, were defined as 100 feet from the edge of right of 185 way (ROW) for updated/improved transportation projects, and 360 feet from ROW for new 186 transportation projects. These distances were based on typical distances that equipment travel 187 during road repair/improvements versus new road construction. Projects that do not have 188 significant spatial extent (e.g., planning, traffic, and safety studies, alterations to bus routes or 189 vanpools), or those whose impacts would be confined to existing infrastructure (e.g., repaying, 190 bus stop improvements) were not considered in the impact analysis.

The buffered transportation projects were intersected with the best available spatial distribution data for mitigation targets, and the impacted acreage summed. Distribution data included mapped locations of element occurrences and PCAs (15), designated Critical Habitat (16, 17), NWI mapping (18), and Colorado Parks and Wildlife Species Activity Maps (19). Not all targets have current, high-quality data that are publicly available; meaning that there can be both false positive impact results (impact shown where a mitigation target no longer exists) or false negatives (target exists but no occurrence has been mapped).

To assist in focusing attention on priority mitigation needs, each transportation project was ranked according to the significance of its impact. In consultation with the Advisory Committee, impact weights were created based on relative weighting of the targets (based on Bin), the number of targets impacted, and the size of the impact, calculated as:

202  $A \times \sum_{i=1}^{3} (W_i Q P_i) + (W_i C N_i)$ 

203 where: A = Actual impact acres for a project 204 Wi = Weight assigned to Bin i (Bin 1; 0.65, Bin 2; 0.25, Bin 3; 0.10)205 Q = Relative weight assigned to area impacted vs. number of targets (0.95)206 Pi = Proportion of impact acres in Bin i207 C = Relative weight assigned to number of targets impacted vs. area (0.05)208 Ni = Number of targets impacted in Bin *i* 209 Raw impact scores were then relativized to a scale of 0 to 100 by dividing each score by 210 the highest raw score, and classified into four categories: 0 = no impact, >0-5 = low impact, >5-

- $211 \quad 20 =$ moderate impact, and >20 =high impact. Project impact levels (Figure 3) highlight the
- 212 location of projects with the most significant impacts, and can be used to identify in advance 213 areas that may require additional planning effort.

#### 214 Identifying and Prioritizing Potential Mitigation Sites

- 215 The primary focus of our analysis was the identification of sites where impacts to mitigation
- 216 targets can be mitigated with the greatest effect, considering the overall land use trends in the
- 217 PPACG region. The process and tool is designed to be dynamic such that if the list of
- transportation projects we evaluated were to change, the calculation of mitigation acres needed
- 219 for each target could be refreshed.
- 220 To identify and prioritize potential mitigation and/or restoration sites, we used a two-step
- process (Figure 2). The first step examines the full study area in a GIS analysis, and identifies
- one or more sites that have sufficient acreage to mitigate for impacts to each target. If there is
- 223 only a single site available, no prioritization is needed. If more than one site is identified, the
- 224 pool of potential mitigation sites is prioritized by applying weights for "added-value" factors. A
- cost-to-benefit analysis could then be performed on the prioritized site list, if adequate cost
- information is available (not available for this project). The identified and prioritized sites
- become part of the IRMP database. The following sections describe the technical methods for
- these steps.



## 230 FIGURE 2 Mitigation site identification and prioritization process.

## 231 Identifying Potential Mitigation Sites

- 232 Selection of potential mitigation sites involves identifying sites that contain occurrences of the
- 233 mitigation targets in sufficient acreage (in aggregate) to offset all of the calculated impact acres
- multiplied by a defined mitigation ratio. We selected Public Land Survey System (PLSS) ~640
- acre Sections for Planning/Site Units because they were used in previous related studies in the
- region and they correspond well to land ownership patterns and comply with CNHP data security
- requirements for rare and imperiled species. For this analysis, we applied mitigation ratios of 3:1
- for Bin 1 targets, 2:1 for Bin 2 targets, and 1:1 for Bin 3 targets reasoning that the 3:1 ratio for
- regulated features is typical (8) and that the other bins would likely have lesser to no additional
- 240 mitigation requirements. Increasing the ratios further can help guard against previously noted

- 241 problems of loss of Planning Units before mitigation is needed or commission errors when the
- targets are not actually in the sites. However, with rare and imperiled species, inadequate
- 243 numbers of known occurrences to accommodate larger ratios can become challenging to
- 244 implement.

245 To identify potential mitigation sites, a custom program (Python script) was written that 246 loops through the impacted mitigation target spatial data, determining how much acreage is 247 impacted, and then finding all Planning Units with that target present. For Planning Units with 248 the target present, the script determines if there is sufficient acreage for mitigation. If acreage is 249 insufficient, the script searches the surrounding Planning Units to determine if a combination of 250 adjacent units can meet the mitigation acreage requirement. Results are written to an output table 251 that identifies all Planning Units or adjacent Planning Unit combinations that have sufficient 252 acreage for mitigating impacts to the target. A site visit would be required to confirm target 253 presence, evaluate the on-the-ground configuration of target acreage, and habitat quality.

- 254 Prioritizing Among Multiple Potential Mitigation Sites
- The identification process described above selects all available potential mitigation sites. Where more than one potential mitigation site is available to offset impacts to any given target(s),
- 250 more than one potential influgation site is available to offset impacts to any given target(s)
- 257 several factors can be used to prioritize among them to limit the number that must be further 258 investigated and verified. These factors can include the cost of site acquisition, cost of the
- investigated and verified. These factors can include the cost of site acquisition, cost of the mitigation action (e.g., restoration, ongoing management), the presence of other values in
- 259 mitigation action (e.g., restoration, ongoing management), the presence of other values in 260 addition to the mitigation target(s), and the value of the site for enhancing the size of, or
- providing buffer to existing conservation areas, and enhancing or maintaining connectivity
- among conservation areas.
- In the present project, data on acquisition cost was not available and the scale of the planning units and inability to predict what specific mitigation actions would be needed precluded using the cost factors. The added value factors can include conservation of other nontarget conservation/cultural elements, and conservation/enhancement of ecosystems services such as hydrologic function (when not the direct mitigation target), recreational values (when compatible with the mitigation targets), visual amenities, and so on. These added value factors are also often of primary interest to organizations that may become critical implementation
- 270 partners in mitigation projects through shared funding, workload, and ongoing stewardship.
- 271 Technical Methods for Added-value Prioritization of Potential Mitigation Sites
- In consultation with the SHRP2 Advisory Committee, we identified 11 added values that could
- be considered in this analysis, based on availability of spatial data to represent them. Factors
- included in the added-value prioritization for this IRMP are listed in Table 2.
- 275

Site Prioritization	Scoring	AHP Weight(1)
Other Bin 1-3 targets present	% acreage within Planning Unit	0.56
Bin 4-5 targets present	% acreage within Planning Unit	0.22
Intact shortgrass habitat present	% acreage within Planning Unit	0.04
Fire/flood restoration potential	% acreage within Planning Unit	0.04
In 100-year floodplain	% acreage within Planning Unit	0.04
Prairie-dog suitable habitat	% acreage within Planning Unit	0.04
Forest health management opportunity	% acreage within Planning Unit	0.04
Terrestrial / Aquatic connectivity	High / Low / None	
Included in other regional plan	Yes / No	
Adjacent to protected area	Yes / No	
Cultural site(2)	Yes / No	

276 TABLE 2 Inputs for site prioritization

277 *1 See text for definition* 

278 2 The project team was unable to locate a suitable dataset for cultural sites; this factor was left

- as a placeholder in the prioritization process.
- 280

281 The overall weighting scheme was selected to strongly favor the presence of other Bin 1-282 3 targets (that were not the mitigation targets), moderately emphasize Bin 4-5 targets, and then 283 weight additional factors, both quantitative and qualitative, equally. The added-value factors that 284 could be calculated as acreage were ranked in a series of pair-wise comparisons to develop 285 relative priorities and numerical weights for each factor, using a publicly available Analytical 286 Hierarchy Process (AHP) Excel template calculator (20). The calculator computed weights via 287 eigenvector analysis. Planning Unit acreage proportions were calculated in ArcGIS 10.2 (21) for 288 each of the seven spatial factors present in a unit. Another custom program (Python script) was 289 used to apply the weights from the spreadsheet calculator (Table 2) to the calculated proportions, 290 which were averaged to produce the weighted average sub-score (AvgAcreScore). Scores for 291 four qualitative added-value factors (Table3) were added to site priority ranks by converting 292 presence/absence or ordinal levels to an index score, which were also applied in the script and 293 averaged as a second sub-score (AvgQualScore). The two sub-scores were combined into an 294 overall weighted average (PriorityIndex) using the formula: 295 PriorityIndex = (AvgAcreScore)\*0.636 + (AvgQualScore)\*0.364 296 297 If a Planning Unit was the only available site for mitigation, its added-value score

defaulted to 1 indicating that it is "irreplaceable" in systematic conservation planning terminology (13) and plan goals cannot be achieved without it

- terminology (13) and plan goals cannot be achieved without it.
- 300

Adjacent to	Cultural	Present in	Connectivity	Qualitative Index
Protected	Site*	other Plan		Score
Area				
Yes	Yes	Yes	High	1
Yes	Yes	Yes	Low	0.875
Yes	Yes	Yes	None	0.75
Yes	Yes	No	High	0.75
Yes	Yes	No	Low	0.625
Yes	Yes	No	None	0.5
Yes	No	Yes	High	0.75
Yes	No	Yes	Low	0.625
Yes	No	Yes	None	0.5
Yes	No	No	High	0.5
Yes	No	No	Low	0.375
Yes	No	No	None	0.25
No	Yes	Yes	High	0.75
No	Yes	Yes	Low	0.625
No	Yes	Yes	None	0.5
No	Yes	No	High	0.5
No	Yes	No	Low	0.375
No	Yes	No	None	0.25
No	No	Yes	High	0.5
No	No	Yes	Low	0.375
No	No	Yes	None	0.25
No	No	No	High	0.25
No	No	No	Low	0.125
No	No	No	None	0

## 301 TABLE 3 Qualitative added-value factor index scoring

302

\*No data available at time of the study, so all scores defaulted to "No".

## 303 **RESULTS**

## **304 Targets and Project Impacts**

305 There are 200 planned transportation projects for which physical disturbance was predicted. Of

these, 52 were projected to impact mitigation targets. Of the 137 mitigation targets present within

- 307 the MPO boundary, 34 could be impacted by one or more transportation projects according to
- 308 our analysis. No target was impacted by more than three transportation projects. Because
- 309 PPACG desires to integrate impacts from, and mitigation for, the full suite of proposed
- 310 transportation projects, the IRMP geodatabase focuses on identifying a pool of potential
- 311 mitigation areas based on the total number of acres impacted for each target across all
- 312 transportation projects. Supporting tabular data were provided to PPACG to allow planners to
- 313 identify targets and acres impacted by individual transportation projects.





315 **FIGURE 3** Impact importance of planned transportation projects based on number and

- 316 type of conservation elements mapped within the projects' buffers.
- 317

## 318 **Potential Mitigation Sites**

- 319 The identification of potential mitigation sites resulted in the inclusion of 1,950 Planning Units
- 320 or Planning Unit combinations in the geodatabase. Added-value scores for sites ranged from
- 321 0.072 to 0.815. Due to the lack of data for the presence of cultural sites, the theoretical possible
- 322 high score was reduced from 1.0 to approximately 0.98. It is unlikely that a site could ever
- 323 realize a perfect added-value score, due to the inherently mutually exclusive nature of some
- 324 factors (for instance, suitable prairie dog habitat is typically not in the 100-year floodplain). An
- 325 example of the identification and prioritization results for a single target is shown in Figure 4.
- 326



328 FIGURE 4 Example of identified and prioritized potential mitigation site locations for the

329 playa habitat mitigation target (a Bin 3 target). Square mile sections shaded from white to

# red contain the playa habitat mitigation target, colors of shading correspond to additive value factors present.

## 332 Application of the IRMP

- The data, methods, and analyses results described above have been developed to assist PPACG
- in improving conservation outcomes by implementing a comprehensive mitigation program. As
- 335 of this writing, the database has not be applied yet so the following describes the intended 336 application.
- The resulting IRMP should not be seen as a single static map of opportunities, because a large number of factors contribute to developing mitigation projects to address individual transportation project mitigation requirements. Integrating the many factors into a single scoring/weighting procedure would reduce the complexity of the product, but would also obscure important overriding factors and trade-offs in site selection decisions. Instead, the IRMP should
- 342 be viewed as a spatial database DST of information that informs this purpose.
- 343 The basic steps to apply this information are:
- When a transportation project is funded, the expected impacts are confirmed. This can range from accessing the original impact calculations from the IRMP or recalculating the impacts if any of the input information has changed. In addition, on-the-ground site assessments are highly recommended to correct omission and commission errors (and then incorporate these corrections into the database).
- 349
   350
   2. Confirm the desired compensatory mitigation ratios for the affected mitigation target(s) in consultation with resource/regulatory agencies.
- 351
  3. Use the IRMP database to search for locations that can provide the necessary mitigation;
  352
  353
  353
  354
  354
  354
  355
  354
  354
  354
  354
  355
  355
  356
  357
  358
  359
  359
  359
  350
  350
  350
  351
  351
  351
  352
  353
  354
  354
  354
  355
  355
  356
  357
  357
  358
  359
  359
  359
  350
  350
  350
  351
  351
  351
  352
  352
  353
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  355
  355
  356
  357
  357
  358
  358
  359
  359
  359
  350
  350
  350
  351
  351
  351
  352
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  354
  <li
- 4. Compare available sites to identify highest priority or most appropriate candidate sites,
   using factors that identify additional values including restoration and management
   potential, connectivity, intact habitats, the presence of additional targets, and status in
   regional plans.
- 359

Another factor to consider in the evaluation of potential mitigation sites is the current condition of the site. Areas in good condition may be highly desirable for conservation easements or other protection mechanisms, whereas areas in degraded condition could either be prioritized for restoration (if moderately degraded), or dropped from consideration as impractical to restore (if severely degraded). Existing data for the mitigation targets were insufficient to allow inclusion of condition as a factor in the development of the IRMP. However, GIS modeling can offer a suitable surrogate for this concept.

As of this writing, a searchable geodatabase of the IRMP has been provided to PPACG. Ease of access and collaborative use of the IRMP is important for successful application by the many partners so PPACG is investigating integration of the geodatabase in a Google Earth

- 370 portal. The ultimate vision for such a portal would allow partners (project proponents, engineers,
- 371 resource agency staff, etc.) to select a proposed transportation project, identify the available
- 372 mitigation sites associated with that project's impacts, query and investigate attributes of those
- 373 sites, and then rank the sites and generate a series of maps and reports. This information could
- then be used to conduct further investigation, including field verification of the site attributes to
- inform final site selection and mitigation project design. Further, the system should be amenable
- to attributing sites as "used" for mitigation so they are no longer available (or not available for
- certain targets but possibly for others) and updating the database with new data, including fieldverification data.
- 576 verification data.

## 379 CONCLUSIONS

- 380 Mainstreaming regional advanced mitigation planning is still in relatively early development
- 381 within the transportation planning discipline. This project explored and developed technical
- 382 methods and tools for quantifying resource impacts, selecting mitigation targets, and identifying
- and prioritizing a suite of sites capable of fulfilling offsite mitigation needs. This work has
- 384 stimulated plans for an integrated toolkit that can automate much of the processes described,
- 385 something that is needed to make this practice more accessible to transportation planners and
- 386 mitigation partners and serve the dynamic needs of transportation project implementation.

## 387 Limitations

- 388 This IRMP is based on statewide and regional datasets of varying age, accuracy, and precision. 389 In addition, some components of mitigation planning that are acknowledged to be important 390 were not available for inclusion in this IRMP such as parcel cost. The DST is intended to be 391 dynamic and updatable with new data and assumptions. With respect to the cost component in 392 our framework, the cost-to-benefit analysis was kept separate from the prioritization analysis to 393 maintain clarity in prioritizing potential mitigation sites from an ecological standpoint. Areas of 394 known or predicted urban development, such as those in the future land use scenarios (e.g., 395 PPACG's Small Area Forecast and Accelerated Trend scenarios) could be incorporated into a 396 cost analysis step of the IRMP (lower right in Figure 1). Two pathways can result: Areas of 397 likely development that coincide with a portion of a target's mitigation pool can either be 1) 398 avoided as not a practical option for mitigation or 2) the area preserved and the proposed urban 399 development relocated to non-conflict areas. Such detailed incorporation of development 400 scenarios or similar information into the process could steer mitigation away from areas of high 401 future development value/threat and, preferably, steer development away from high-value
- 402 conservation areas.

# 403 ACKNOWLEDGEMENTS

We thank the PPACG's staff and Federal Highway Administration's Strategic Highway
 Research Program (SHRP2) Advisory Committee for input and review throughout the project.

- 406 To assist in this effort, PPACG was awarded a grant from the SHRP2 Federal Lead Adopter
- 407 Incentive Implementation Assistance program.
- 408 **REFERENCES**
- Race, M.S. and M.S. Fonseca. 1996. Fixing Compensatory Mitigation: What Will it Take?.
   Ecological Applications Vol. 6, No. 1, pp. 94-101
- 411 2. Thorne JT, E.H. Girvetz, and M.C. McCoy. 2009. Evaluating aggregate terrestrial impacts of
  412 road construction projects for advanced regional mitigation. Environmental Management,
  413 43:936-948.
- 414 3. Brown, J. M. 2006. Eco-logical: an ecosystem approach to developing infrastructure projects.
  415 U.S. Department of Transportation.
- 416 4. Crist, P., S. Howie, M. Venner, J. Kagan, L. Gaines. 2014. Manager's guide to the Integrated
  417 Ecological Framework. Transportation Research Board. Washington, D.C. Available online
  418 at http://onlinepubs.trb.org/onlinepubs/shrp2/SHRP2\_S2-C06-RW-4.pdf
- 419 5. Environmental Law Institute. 2007. Mitigation of impacts to fish and wildlife habitat:
  420 Estimating costs and identifying opportunities. Washington, DC: Environmental Law
  421 Institute.
- Greer, K., & Som, M. 2010. Breaking the environmental gridlock: advance mitigation programs for ecological impacts. *Environmental Practice*, *12*(3), 227-236. doi:10.10170S1466046610000311
- 424 7. Sciara, Gian-Claudia, Jacquelyn Bjorkman, Jaimee Lederman, Melanie Schlotterbeck, James H.
  425 Thorne, Martin Wachs, Stuart Kirkham (2015) Experimentation and Innovation in Advance
  426 Mitigation: Lessons from California. Transportation Research Record 2502, 144 153
- 427 8. Huber, P.R., D.R. Cameron, J.H. Thorne, and T.M. Frink. 2010. Regional advance mitigation
  428 planning: a pilot study integrating multi-agency mitigation needs and actions within a
  429 comprehensive ecological framework.
- 430
  9. Manning, S., L. Driscoll, C. Corcoran, R. Thurston, M. Daily, D. Swanson, G. Lux, and K.
  431 Risenhoover. 2012. Interagency regulatory guide: advance permittee-responsible mitigation.
  432 U.S. Army Corps of Engineers, Washington State Departments of Ecology and Fish and
  433 Wildlife.
- 10. NCHRP 25-25 (67) 2011. Optimizing conservation and improving mitigation cost/benefit:
  task 3 comparison of the ecological and economic outcomes of traditional vs. programmatic,
  multi-resource based approach. Prepared by the Environmental Law Institute, NatureServe,
  OSU Institute for Natural Resources, Resources for the Future under contract to Cambridge
  Systematics.
- 439 11. CEQ. Council on Environmental Quality (CEQ) NEPA Regulations: 40 CFR 1500 1508.
- 440 12. Compensatory Mitigation for Losses of Aquatic Resources, 40 CFR Part 230 Subpart J and
   441 33 CFR Part 332.
- 442 13. Groves, C.R. 2003. Drafting a conservation blueprint: a practitioner's guide to planning for
   443 biodiversity. Island press.
- 444 14. Fink, M., L. Grunau, K. Decker, and P. Crist. 2015. Pikes Peak Area Council of
- Governments' Regional Transportation Plan: Integrated Regional Mitigation Plan. Colorado
   Natural Heritage Program, Colorado State University, Fort Collins, Colorado, and
- 447 NatureServe, Arlington, VA.
- 448 http://www.cnhp.colostate.edu/download/documents/2015/IRMP\_StandAlone\_10Aug2015.p
- 449 df

- 450 15. Colorado Natural Heritage Program [CNHP]. 2015a. Biodiversity Tracking and Conservation
  451 System (BIOTICS). Colorado Natural Heritage Program, Colorado State University, Fort
  452 Collins, CO.
- 453 16. U.S. Fish and Wildlife Service [USFWS]. 2004. Final Critical Habitat for the Mexican
  454 spotted owl (Strix occidentalis lucida). U.S. Fish and Wildlife Service, Denver, CO. (31
  455 August). http://criticalhabitat.fws.gov/
- 456 17. U.S. Fish and Wildlife Service [USFWS]. 2010. Preble's Meadow Jumping Mouse Critical
  457 Habitat Buffers Proposed 2010. U.S. Fish and Wildlife Service, Denver, CO. (10 December).
  458 http://criticalhabitat.fws.gov/
- 459 18. U. S. Fish and Wildlife Service. Publication date (1975-1999). National Wetlands Inventory
  460 website. U.S. Department of the Interior, Fish and Wildlife Service, Washington, D.C.
  461 http://www.fws.gov/wetlands/Colorado Dept. of Transportation [CDOT]. 2014. Preble's
  462 meadow jumping mouse conservation properties in Northern El Paso County. CDOT Region
  463 2. Google Earth KML.
- 464 19. Colorado Parks and Wildlife [CPW]. 2014. Colorado Parks and Wildlife Species Activity
   465 Mapping vector digital data. Colorado Parks and Wildlife, Colorado Department of Natural
   466 Resources, Denver, CO.
- 467 20. Goepel, K.D. 2013. Implementing the Analytic Hierarchy Process as a Standard Method for
  468 Multi-Criteria Decision Making In Corporate Enterprises A New AHP Excel Template
  469 with Multiple Inputs, Proceedings of the International Symposium on the Analytic Hierarchy
  470 Process 2013. Version 06.07.2015 of the spreadsheet downloaded from http://bpmsg.com on
  471 June 11, 2015.
- 472 21. ESRI. 2014. ArcGIS Desktop version 10.2.2. ESRI, Redlands, California.